Form 604

Corporations Act 2001 Section 671B Notice of change of interests of substantial holder

To: Company Name/Scheme: SHEFFIELD RESOURCES LIMITED

ACN/ARSN 125 811 083

1. Details of substantial holder

Mitsubishi UFJ Financial Group, Inc.

There was a change in the interests of the substantial holder on 07/02/2020

The previous notice was given to the company on 19/09/2019 19/09/2019 The previous notice was dated 12/02/2020 The holder became aware on

2. Previous and present voting power

The total number of votes attached to all the voting shares in the company or voting interests in the scheme that the substantial holder or an associate had a relevant interest in when last required, and when now required, to give a substantial holding notice to the company or scheme are as follows:

Class of securities	Previous notice		Present notice	
	Person's votes	Voting power (%)	Person's votes	Voting power (%)
Fully Paid ordinary shares	17 484 925	6.65%	17 233 795	5 58%

3. Changes in relevant interest

Particulars of each change in, or change in the nature of, a relevant interest of the substantial holder or an associate in voting securities of the company or scheme, since the substantial holder was last required to give a substantial holding notice to the company or scheme are as follows:

Date of change	Person whose relevant interest changed	Consideration given in	Person's votes affected
See annexure A to this notice			

4.Present relevant interests

Particulars of each relevant interest of the substantial holder in voting securities after the change are as follows:

Holder of relevant interest	Registered holder of securities	Person entitled to be registered as holder	Nature of relevant interest	Class and number of securities	Person's votes
Mitsubishi UFJ Financial Group, Inc.	Citicorp Nominees Pty Limited (Australia)	Not Applicable	Relevant interest in securities that First Sentier Investors Holdings Pty Limited has a relevant interest in under section 608(3) of the Corporations Act as Mitsubishi UFJ Financial Group, Inc. has voting power 010% in First Sentier Investors Holdings Pty Limited.	15,970,110 Fully paid ordinary shares	15,970,110
Mitsubishi UFJ Financial Group, Inc.	HSBC Custody Nominees (Australia) Limited	Not Applicable	Relevant interest in securities that Morgan Stanley has a relevant interest in under section 608(3) of the Corporations Act as Mitsubishi UFJ Financial Group, Inc. has voting power of over 20% in Morgan Stanley.	1,042,765 Fully paid ordinary shares	1,042,765
Mitsubishi UFJ Financial Group, Inc.	HSBC Custody Nominees (Australia) Limited	Not Applicable	Relevant interest in securities that Morgan Stanley has a relevant interest in under section 608(3) of the Corporations Act as Mitsubishi UFJ Financial Group, Inc. has voting power of over 20% in Morgan Stanley.	170,033 Fully paid ordinary shares	170,033
Mitsubishi UFJ Financial Group, Inc.	Morgan Stanley Australia Securities (Nominee) Pty Limited	Not Applicable	Relevant interest in securities that Morgan Stanley has a relevant interest in under section 608(3) of the Corporations Act as Mitsubishi UFJ Financial Group, Inc. has volting power of over 20% in Morgan Stanley.	50,887 Fully paid ordinary shares	50,887

5. Changes in association

The persons who have become associates of, ceased to be associates of, or have changed the nature of their association with, the substantial holder in relation to voting interests in the company or scheme are as follows:

Name and ACN/ARSN (if applicable)	Nature of association
Not Applicable	Not Applicable

6. Addresses

The addresses of persons named in this form are as follows:

Address
2-7-1. Marunouchi. Chivoda-ku. Tokvo 100-8330. Japan

Signature

My Take

Dated 13 February 2020

Kenji Takase

- Authorised signatory

Annexure A

This is annexure A of 3 pages referred to in Form 604, Notice of change of interest of substantial holder dated 13 February 2020

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Kenji Takase

- Authorised signatory

Dated 13 February 2020

Date of change	Person whose relevant interest changed	Nature of change	Consideration given in relation to change	Class and number of securities affected	Person's votes affected
		Collateral received by an entity			
26/09/2019	Mitsubishi UFJ Financial Group, Inc.	controlled by Morgan Stanley - see Annexure B	N/A	288,357 Ordinary Shares	288,357
		Collateral returned by an entity			
20/00/2040	Mitaubiahi UE LEinanaial Casus Inc	controlled by Morgan Stanley - see Annexure B	NI/A	2.700 Ondinani Chana	2.700
30/09/2019	Mitsubishi UFJ Financial Group, Inc.	Sale of securities by an entity controlled		3,786 Ordinary Shares	3,786
8/10/2019	Mitsubishi UFJ Financial Group, Inc.	by Morgan Stanley	147.60	369 Ordinary Shares	369
8/10/2019	Mitsubishi UFJ Financial Group, Inc.	Sale of securities by an entity controlled by Morgan Stanley		8,749 Ordinary Shares	8,749
0/10/2013	Wittsubistii Of o'r inanciai Group, inc.	Sale of securities by an entity controlled		0,743 Ordinary Orlands	0,143
8/10/2019	Mitsubishi UFJ Financial Group, Inc.	by Morgan Stanley		1,687 Ordinary Shares	1,687
8/10/2019	Mitsubishi UFJ Financial Group, Inc.	Sale of securities by an entity controlled by Morgan Stanley		598 Ordinary Shares	598
S. 131211		Collateral returned by an entity		, , , , , , , , , , , , , , , , , , , ,	
0/40/0040	Mitarchiahi IIE I Einan aid Oncor Inc	controlled by Morgan Stanley - see	N/A	0.000 0	0.000
8/10/2019	Mitsubishi UFJ Financial Group, Inc.	Annexure B Sale of securities by an entity controlled		3,200 Ordinary Shares	3,200
9/10/2019	Mitsubishi UFJ Financial Group, Inc.	by Morgan Stanley	679.36	1,637 Ordinary Shares	1,637
40/40/0040	Mitarabiahi HE LEisan aid Caram Inc	Sale of securities by an entity controlled		0.004.0	0.004
10/10/2019	Mitsubishi UFJ Financial Group, Inc.	by Morgan Stanley Sale of securities by an entity controlled		3,631 Ordinary Shares	3,631
10/10/2019	Mitsubishi UFJ Financial Group, Inc.	by Morgan Stanley		3,317 Ordinary Shares	3,317
		Collateral returned by an entity			
10/10/2019	Mitsubishi UFJ Financial Group, Inc.	controlled by Morgan Stanley - see Annexure B	N/A	3,370 Ordinary Shares	3,370
10/10/2010	Wittsubistii GT GT inanisiar Group, inc.	Collateral returned by an entity	14//	0,070 Oraniary Onarco	0,010
4544040040		controlled by Morgan Stanley - see		0.000 0 11 01	
15/10/2019	Mitsubishi UFJ Financial Group, Inc.	Annexure B Collateral returned by an entity	N/A	3,038 Ordinary Shares	3,038
		controlled by Morgan Stanley - see			
16/10/2019	Mitsubishi UFJ Financial Group, Inc.	Annexure B	N/A	1,613 Ordinary Shares	1,613
		Collateral returned by an entity controlled by Morgan Stanley - see			
17/10/2019	Mitsubishi UFJ Financial Group, Inc.	Annexure B	N/A	2,987 Ordinary Shares	2,987
		Collateral returned by an entity			
22/10/2019	Mitsubishi UFJ Financial Group, Inc.	controlled by Morgan Stanley - see Annexure B	N/A	1,921 Ordinary Shares	1,921
22,10,2010	mineaplem of a manifiar creap, me.	Purchase of securities by an entity	1,47	1,021 Granary Grands	1,021
22/40/2040	Mitaubiahi UE LEinanaial Casus Inc	controlled by First Sentier Investors		4 004 004 Ondinany abanca	4 004 004
23/10/2019	Mitsubishi UFJ Financial Group, Inc.	Holdings Pty Limited Collateral returned by an entity	-	1,081,821 Ordinary shares	1,081,821
		controlled by Morgan Stanley - see			
24/10/2019	Mitsubishi UFJ Financial Group, Inc.	Annexure B	N/A	2,191 Ordinary Shares	2,191
		Collateral returned by an entity controlled by Morgan Stanley - see			
25/10/2019	Mitsubishi UFJ Financial Group, Inc.	Annexure B	N/A	3,668 Ordinary Shares	3,668
		Collateral returned by an entity			
29/10/2019	Mitsubishi UFJ Financial Group, Inc.	controlled by Morgan Stanley - see Annexure B	N/A	164 Ordinary Shares	164
		Collateral returned by an entity		,	
13/11/2019	Mitsubishi UFJ Financial Group, Inc.	controlled by Morgan Stanley - see Annexure B	N/A	9,093 Ordinary Shares	9,093
13/11/2019	Wittsubistii Of 3 Filianciai Group, Inc.	Sale of securities by an entity controlled		9,093 Ordinary Snares	9,093
14/11/2019	Mitsubishi UFJ Financial Group, Inc.	by Morgan Stanley		1,213 Ordinary Shares	1,213
14/11/2019	Mitsubishi UFJ Financial Group, Inc.	Sale of securities by an entity controlled by Morgan Stanley		11,859 Ordinary Shares	11,859
14/11/2019	Witsubistii Of 3 Filianciai Group, Inc.	Sale of securities by an entity controlled		11,039 Ordinary Shares	11,059
14/11/2019	Mitsubishi UFJ Financial Group, Inc.	by Morgan Stanley	2,175.66	6,399 Ordinary Shares	6,399
		Collateral returned by an entity controlled by Morgan Stanley - see			
14/11/2019	Mitsubishi UFJ Financial Group, Inc.	Annexure B	N/A	2,886 Ordinary Shares	2,886
		Sale of securities by an entity controlled			
15/11/2019	Mitsubishi UFJ Financial Group, Inc.	by Morgan Stanley Sale of securities by an entity controlled		5,305 Ordinary Shares	5,305
15/11/2019	Mitsubishi UFJ Financial Group, Inc.	by Morgan Stanley		4,642 Ordinary Shares	4,642
		Sale of securities by an entity controlled			
15/11/2019	Mitsubishi UFJ Financial Group, Inc.	by Morgan Stanley Sale of securities by an entity controlled		4,621 Ordinary Shares	4,621
15/11/2019	Mitsubishi UFJ Financial Group, Inc.	by Morgan Stanley		6,781 Ordinary Shares	6,781

		Collateral returned by an entity controlled by Morgan Stanley - see			
15/11/2019	Mitsubishi UFJ Financial Group, Inc.	Annexure B Purchase of securities by an entity	N/A	2,978 Ordinary Shares	2,978
18/11/2019	Mitsubishi UFJ Financial Group, Inc.	controlled by Morgan Stanley Collateral returned by an entity	1,682.28	4,673 Ordinary Shares	4,673
18/11/2019	Mitsubishi UFJ Financial Group, Inc.	controlled by Morgan Stanley - see Annexure B	N/A	3,080 Ordinary Shares	3,080
19/11/2019	Mitsubishi UFJ Financial Group, Inc.	Sale of securities by an entity controlled by Morgan Stanley	171.00	475 Ordinary Shares	475
	·	Sale of securities by an entity controlled			
19/11/2019	Mitsubishi UFJ Financial Group, Inc.	by Morgan Stanley Sale of securities by an entity controlled	275.63	771 Ordinary Shares	771
19/11/2019	Mitsubishi UFJ Financial Group, Inc.	by Morgan Stanley Collateral returned by an entity	2,107.61	6,109 Ordinary Shares	6,109
29/11/2019	Mitsubishi UFJ Financial Group, Inc.	controlled by Morgan Stanley - see Annexure B	N/A	2,827 Ordinary Shares	2,827
20/11/2010	Wilder St. C. F. Mariotar Group, mo.	Collateral returned by an entity	1473	2,027 Grandry Gridies	2,021
4/12/2019	Mitsubishi UFJ Financial Group, Inc.	controlled by Morgan Stanley - see Annexure B	N/A	2,944 Ordinary Shares	2,944
		Collateral returned by an entity controlled by Morgan Stanley - see			
5/12/2019	Mitsubishi UFJ Financial Group, Inc.	Annexure B Collateral returned by an entity	N/A	2,944 Ordinary Shares	2,944
		controlled by Morgan Stanley - see			
6/12/2019	Mitsubishi UFJ Financial Group, Inc.	Annexure B Collateral returned by an entity	N/A	2,887 Ordinary Shares	2,887
9/12/2019	Mitsubishi UFJ Financial Group, Inc.	controlled by Morgan Stanley - see Annexure B	N/A	1,896 Ordinary Shares	1,896
		Collateral returned by an entity controlled by Morgan Stanley - see		,	, , , , , , , , , , , , , , , , , , , ,
12/12/2019	Mitsubishi UFJ Financial Group, Inc.	Annexure B	N/A	7,696 Ordinary Shares	7,696
		Collateral returned by an entity controlled by Morgan Stanley - see			
13/12/2019	Mitsubishi UFJ Financial Group, Inc.	Annexure B Collateral returned by an entity	N/A	5,267 Ordinary Shares	5,267
46/42/2040	Mitaubiahi IIE I Einanaial Casus Inc	controlled by Morgan Stanley - see Annexure B	N/A	4,832 Ordinary Shares	4 000
16/12/2019	Mitsubishi UFJ Financial Group, Inc.	Collateral returned by an entity	IN/A	4,632 Ordinary Shares	4,832
17/12/2019	Mitsubishi UFJ Financial Group, Inc.	controlled by Morgan Stanley - see Annexure B	N/A	3,018 Ordinary Shares	3,018
	·	Collateral returned by an entity controlled by Morgan Stanley - see			
18/12/2019	Mitsubishi UFJ Financial Group, Inc.	Annexure B	N/A	2,684 Ordinary Shares	2,684
		Collateral returned by an entity controlled by Morgan Stanley - see			
19/12/2019	Mitsubishi UFJ Financial Group, Inc.	Annexure B Collateral returned by an entity	N/A	878 Ordinary Shares	878
20/12/2019	Mitsubishi UFJ Financial Group, Inc.	controlled by Morgan Stanley - see Annexure B	N/A	8,031 Ordinary Shares	8,031
	·	Purchase of securities by an entity			
23/12/2019	Mitsubishi UFJ Financial Group, Inc.	controlled by Morgan Stanley Collateral returned by an entity	1,753.95	5,315 Ordinary Shares	5,315
23/12/2019	Mitsubishi UFJ Financial Group, Inc.	controlled by Morgan Stanley - see Annexure B	N/A	5,295 Ordinary Shares	5,295
27/12/2019	Mitsubishi UFJ Financial Group, Inc.	Purchase of securities by an entity controlled by Morgan Stanley	1.124.01	3,258 Ordinary Shares	3,258
27/12/2019	Mitsubishi UFJ Financial Group, Inc.	Purchase of securities by an entity controlled by Morgan Stanley		2,130 Ordinary Shares	2,130
	·	Purchase of securities by an entity			
27/12/2019	Mitsubishi UFJ Financial Group, Inc.	controlled by Morgan Stanley Collateral returned by an entity	400.75	1,145 Ordinary Shares	1,145
27/12/2019	Mitsubishi UFJ Financial Group, Inc.	controlled by Morgan Stanley - see Annexure B	N/A	14,028 Ordinary Shares	14,028
	Mitsubishi UFJ Financial Group, Inc.	Purchase of securities by an entity			6,074
30/12/2019	ivitsubistii 0F3 Financiai Group, inc.	controlled by Morgan Stanley Collateral returned by an entity	2,125.90	6,074 Ordinary Shares	0,074
31/12/2019	Mitsubishi UFJ Financial Group, Inc.	controlled by Morgan Stanley - see Annexure B	N/A	1,834 Ordinary Shares	1,834
		Sale of securities by an entity controlled by First Sentier Investors Holdings Pty			
2/01/2020	Mitsubishi UFJ Financial Group, Inc.	Limited Purchase of securities by an entity	21,770.70	62,202 Ordinary shares	62,202
2/01/2020	Mitsubishi UFJ Financial Group, Inc.	controlled by Morgan Stanley	77.86	229 Ordinary Shares	229
		Collateral returned by an entity controlled by Morgan Stanley - see			
2/01/2020	Mitsubishi UFJ Financial Group, Inc.	Annexure B Sale of securities by an entity controlled	N/A	7,288 Ordinary Shares	7,288
3/04/3030	Mitaubiahi UE L Financial Communi	by First Sentier Investors Holdings Pty	04 000 74	70 572 Ordinand by	70 570
3/01/2020	Mitsubishi UFJ Financial Group, Inc.	Limited Purchase of securities by an entity		70,572 Ordinary shares	70,572
3/01/2020	Mitsubishi UFJ Financial Group, Inc.	controlled by Morgan Stanley Purchase of securities by an entity	274.97	797 Ordinary Shares	797
3/01/2020	Mitsubishi UFJ Financial Group, Inc.	controlled by Morgan Stanley Purchase of securities by an entity	632.97	1,783 Ordinary Shares	1,783
3/01/2020	Mitsubishi UFJ Financial Group, Inc.	controlled by Morgan Stanley	2,746.86	8,079 Ordinary Shares	8,079
3/01/2020	Mitsubishi UFJ Financial Group, Inc.	Purchase of securities by an entity controlled by Morgan Stanley	674.45	1,927 Ordinary Shares	1,927
6/01/2020	Mitsubishi UFJ Financial Group, Inc.	Purchase of securities by an entity controlled by Morgan Stanley	1.802.00	5,300 Ordinary Shares	5,300
6/01/2020	Mitsubishi UFJ Financial Group, Inc.	Purchase of securities by an entity controlled by Morgan Stanley		962 Ordinary Shares	
0/0 1/2020	INITION OF THIS ICIAL GLOUP, INC.	controlled by Morgan Staffley	331.89	JULE Orumany Smares	962

Annexure A

		Sale of securities by an entity controlled			
		by First Sentier Investors Holdings Pty			
7/01/2020	Mitsubishi UFJ Financial Group, Inc.	Limited	17,850.06	51,935 Ordinary shares	51,935
7/01/2020	Mitsubishi UFJ Financial Group, Inc.	Purchase of securities by an entity controlled by Morgan Stanley	2 180 42	6,413 Ordinary Shares	6,413
770 172020	Witsubistii Of 3 Tillianciai Group, Ilic.	Sale of securities by an entity controlled	2,100.42	0,413 Oldinary Shares	0,413
		by First Sentier Investors Holdings Pty			
8/01/2020	Mitsubishi UFJ Financial Group, Inc.	Limited	34 000 00	100,000 Ordinary shares	100,000
0/01/2020	Wittoubiotii Of Of Intariotal Ofoup, inc.	Sale of securities by an entity controlled	04,000.00	100,000 Ordinary Shares	100,000
		by First Sentier Investors Holdings Pty			
9/01/2020	Mitsubishi UFJ Financial Group, Inc.	Limited	16.507.18	48,522 Ordinary shares	48,522
	1,	Sale of securities by an entity controlled			- 7-
		by First Sentier Investors Holdings Pty			
10/01/2020	Mitsubishi UFJ Financial Group, Inc.	Limited	11,290.17	33,702 Ordinary shares	33,702
	·	Purchase of securities by an entity			
10/01/2020	Mitsubishi UFJ Financial Group, Inc.	controlled by Morgan Stanley	482.95	1,486 Ordinary Shares	1,486
		Purchase of securities by an entity			
10/01/2020	Mitsubishi UFJ Financial Group, Inc.	controlled by Morgan Stanley	1,595.48	5,065 Ordinary Shares	5,065
		Sale of securities by an entity controlled			
		by First Sentier Investors Holdings Pty			
13/01/2020	Mitsubishi UFJ Financial Group, Inc.	Limited	42,035.14	127,225 Ordinary shares	127,225
		Purchase of securities by an entity			
13/01/2020	Mitsubishi UFJ Financial Group, Inc.	controlled by Morgan Stanley	1,714.41	5,621 Ordinary Shares	5,621
		Purchase of securities by an entity			
13/01/2020	Mitsubishi UFJ Financial Group, Inc.	controlled by Morgan Stanley	372.65	1,183 Ordinary Shares	1,183
		Sale of securities by an entity controlled			
4.4/0.4/0000		by First Sentier Investors Holdings Pty	00.050.00		70.000
14/01/2020	Mitsubishi UFJ Financial Group, Inc.	Limited	22,050.00	70,000 Ordinary shares	70,000
4.4/0.4/0.000	MitarabiabillElEiranaialOnna	Purchase of securities by an entity	0.004.40	0.700 0.45	0.700
14/01/2020	Mitsubishi UFJ Financial Group, Inc.	controlled by Morgan Stanley Sale of securities by an entity controlled	2,084.13	6,723 Ordinary Shares	6,723
		by First Sentier Investors Holdings Pty			
15/01/2020	Mitsubishi UFJ Financial Group, Inc.	Limited	22 308 25	73,630 Ordinary shares	73,630
13/01/2020	Witsubistii Of 3 Tillianciai Group, Ilic.	Sale of securities by an entity controlled	22,390.23	73,030 Ordinary shares	73,030
		by First Sentier Investors Holdings Pty			
16/01/2020	Mitsubishi UFJ Financial Group, Inc.	Limited	31 689 26	103,021 Ordinary shares	103,021
10/01/2020	Wittoubiotii Of Of Intariotal Ofoup, inc.	Sale of securities by an entity controlled	01,000.20	100,021 Ordinary Shares	100,021
		by First Sentier Investors Holdings Pty			
20/01/2020	Mitsubishi UFJ Financial Group, Inc.	Limited	27.910.20	93,034 Ordinary shares	93,034
		Sale of securities by an entity controlled			55,55
		by First Sentier Investors Holdings Pty			
23/01/2020	Mitsubishi UFJ Financial Group, Inc.	Limited	2,109.17	7,273 Ordinary shares	7,273
	·	Sale of securities by an entity controlled		-	
		by First Sentier Investors Holdings Pty			
5/02/2020	Mitsubishi UFJ Financial Group, Inc.	Limited	32,770.17	141,617 Ordinary shares	141,617
		Sale of securities by an entity controlled	<u> </u>		
		by First Sentier Investors Holdings Pty			1
6/02/2020	Mitsubishi UFJ Financial Group, Inc.	Limited	63,732.00	271,200 Ordinary shares	271,200
		Sale of securities by an entity controlled			
		by First Sentier Investors Holdings Pty			
7/02/2020	Mitsubishi UFJ Financial Group, Inc.	Limited	58,526.99	249,051 Ordinary shares	249,051

Annexure B

This is annexure B of 1 page referred to in Form 604, Notice of change of interest of substantial holder dated 13 February 2020

May Take

ji Takase Authorised signatory

Dated 13 February 2020

The below schedules are based on the relevant standard agreements. The entity filing the report will, if requested by the company or responsible entity to whom the prescribed form must be given or ASIC, give a copy of the agreement to the company, responsible entity or ASIC.

Schedule			
Type of Agreement	International Prime Brokerage Agreement		
Parties to agreement	Morgan Stanley & Co. International plc for itself and as agent and trustee for and on behalf of the other Morgan Stanley Companies and INTEGRATED CORE STRATEGIES (ASIA) PTE LTD.		
Transfer Date	20200102;		
Holder of Voting Rights	Prime broker has the right to vote securities rehypothecated from the Client.		
Are there any restrictions on voting rights?	Yes/ No		
f yes, detail Not applicable			
Scheduled Return Date (if any)	Open		
Does the borrower have the right to return early?	Yes/ No		
If yes, detail Prime broker may return shares which were rehypothecated from the client at any time.			
Does the lender have the right to recall early?	Yes/ No		
If yes, detail Prime broker will be required to return to the client shares rehypothecated from the client's account upon a sale of those shares by the client.			
Will the securities be returned on settlement?	Yes/ No		

If yes, detail any exceptions Upon an Event of Default, the default market value of all Equivalent Securities to be delivered will be determined and on the basis of the amounts so established, an account shall be taken of what is due from each party to the other. The amounts due from one party shall be set off against the amounts due from the other party and only the balance of the account shall be payable.